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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/749,913	12/29/2003	Jaroslav Sydir	Intel-013PUS	1409

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EXAMINER
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HOMAYOUNMEHR, FARID

ART UNIT	PAPER NUMBER
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2139

MAIL DATE	DELIVERY MODE
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09/05/2008

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/749,913	<b>Applicant(s)</b> SYDIR ET AL.	
	<b>Examiner</b> Farid Homayounmehr	<b>Art Unit</b> 2139	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 12 June 2008.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1, 3-20, 22- 25, 27- 32, 34-41 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1, 3-20, 22-25, 27-32, 34-41 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                       | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | Paper No(s)/Mail Date. _____                                      |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>6/12/2008</u> .   | 6) <input type="checkbox"/> Other: _____                          |

**DETAILED ACTION**

1. This action is responsive to communications: application, filed 12/29/2003; amendment filed 6/12/2008.
2. Claims 1, 3 to 20, 22 to 25, 27 to 32, 34 and 35 have been amended by the applicant.
3. Claims 36-41 are new.
4. Claims 1, 3 to 20, 22 to 25, 27 to 32, 34-41 are pending.
5. Claims 2, 21, 26, and 33 are cancelled.

***Information Disclosure Statement***

6. Information Disclosure Statement dated 6/12/2008 has been considered. Please see attached form PTO-1449.

***Response to Arguments***

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7. With regards to rejection under section 112, applicant's argument in view of the amendments is found persuasive. The rejections under section 112 are hereby withdrawn.

9. Applicant's argument relative rejection under section 103(a) is moot in view of the new grounds of rejection as follows:

***Claim Rejections - 35 USC § 103***

9. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

10. Claims 1, 4-6, 8-20, 23-25, and 28-32, 36-41 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ohta et al. (US 2002/0083317) hereinafter called Ohta, in view of Tardo (US 7,082,534)

10.1. Claims 1, 10, 13, 14, 15, 16, 18, 19, 20, 25, 32 disclose a processor, comprising:  
a crypto unit comprising:  
a cipher core configured to cipher data received; (Ohta Figure 12 and associated text

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show a plurality of cipher cores (303a and 303b) and a plurality of authentication buffers (304a and 304b))

a plurality of processing contexts each configured to process at least one data packet at a time and to store cipher keys and algorithm context associated with processing the at least one data packet (Paragraph [0012] teaches plural cipher processing units and paragraph [0046] teaches different cipher algorithms used to encrypt/decrypt the data. This would correspond to the "plurality of processing contexts"), each processing context comprising authentication of the at least one packet (paragraph [0046] and [0011] show that when a packet requires authentication and/or encryption, it will be routed to an authentication processing unit and/or encryption processing unit. Therefore, when a packet requires authentication, it will be assigned a processing context to perform authentication);

Ohta teaches authentication cores configured to authenticate the ciphered data in Figure 12, Authentication Processing Unit 305a and 305b and associated text in paragraph [0104] Ohta does not teach but Tardo teaches, at least two authentications cores each implementing a different authentication algorithm as shown in Figures 2 and 3 and explained in column 4 lines 48-67 through column 5 lines 1-36. Figure 2 shows 2 authentication engines MD5 225 and SHA1 227. Figure 3 and associated text teach choosing the authentication engine based on the encryption as in column 5 lines 25-29. It would be obvious to one of ordinary skill in the art at the time of invention to use 2 different authentication algorithms of Tardo in two different authentication cores of Ohta. The motivation to combine would be that in paragraph [0046] of Ohta it states that the

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authentication algorithm includes HMAC-MD5-96 and HMAC-SHA-1-96. Therefore, as shown in Ohta the authentication cores include different algorithms); and

an authentication buffer configured to store the ciphered data and provide the ciphered data to the authentication cores each in an amount based on the corresponding authentication algorithm implemented. (Ohta Figure 12, Data Accumulation Unit 304a and 304b; paragraph [0011] states "a data block accumulation unit that outputs the accumulated amount to the authentication processing unit when it reaches the smallest data block size for the authentication processing")

wherein the authentication buffer comprises buffer elements, each buffer element corresponding to a respective one of plurality of processing contexts (Figure 12 shows two buffers and two authentication processing units. Note also that as stated before, each packet requiring authentication will be stored (buffered) in a data accumulation unit until it is ready for encryption) and a number of plurality of processing contexts does not equal a number of authentication cores (processing contexts are comprised of a combination of authentication processing and/or encryption processes with the associated buffers, as shown in Ohta paragraph [0042]. Therefore, the system assigns a processing context for a packet, which may or may not require authentication. Therefore, the number of processing contexts is not equal to the number of authentication cores when a packet only requires encryption. Note also that as mentioned above, and according to Tardo's teachings, there system of Ohta in view of Tardo may include several authentication cores, each corresponding to a different

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authentication protocol, and therefore, the number of authentication cores may not be equal to number of processing contexts).

10.2. Claims 4, 23, 28 disclose the processor according to claim 1, wherein each of the buffer elements stores data for a respective one of the processing contexts (Ohta Figure 12 and associated text show a corresponding number of data block accumulation units to encryption processing units).

10.3. Claim 5 discloses the network processor according to claim 4, wherein the buffer elements have a size that is at least as large as a largest authentication algorithm block size implemented by the authentication cores (Ohta Figure 12, Data Accumulation Unit 304a and 304b; paragraph [0011] states "a data block accumulation unit that outputs the accumulated amount to the authentication processing unit when it reaches the smallest data block size for the authentication processing").

10.4. Claim 6 discloses the processor according to claim 1, wherein the crypto unit further comprises a plurality of cipher cores, and a plurality of authentication buffer elements (Ohta Figure 12 and associated text show a plurality of cipher cores (303a and 303b) and a plurality of authentication buffers (304a and 304b)).

10.5. Claim 8 discloses the processor according to claim 6, wherein one of the authentication cores processes data in 16-byte blocks and another one of the

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authentication cores processes data in 64-byte blocks. (The rejection of claim one above and also, Ohta paragraph [0016] teaches outputting blocks of data to the encryption and authentication processors in multiples of 8 bits, which would include all processor blocks in claims 8 and 9.)

10.6. Claim 9 discloses the network processor according to claim 8, wherein one of the cipher core cores processes data in 8-byte blocks and another one of the cipher cores processes data in and/or 16-byte blocks. (The rejection of claim one above and also, Ohta paragraph [0016] teaches outputting blocks of data to the encryption and authentication processors in multiples of 8 bits, which would include all processor blocks in claims 8 and 9.)

10.7. Claim 11 discloses the method according to claim 10, further comprising ciphering data received in a first one of a plurality of cipher cores to form the ciphered data (Ohta Figure 12 and associated text show a plurality of cipher cores (303a and 303b) and a plurality of authentication buffers (304a and 304b).

10.8. Claim 12 discloses the method according to claim 10, further comprising ciphering data received using a first one of a plurality of cipher algorithms to form the ciphered data (Tardo Figure 2, DES 221 and AES 223).

10.9. Claims 17, 30, 31 disclose the method according to claim 10, further comprising



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determining whether data is to be ciphered (Ohta paragraph [0046], processing contexts).

10.10. Claims 24, 29 disclose the device according to claim 20, wherein the device includes one or more of a router, network switch, security gateway, storage area network client, and server (Phta Paragraph [0089] teaches a router, firewall, and security gate connecting plural computers. This is equivalent to the hardware devices mentioned in claims 20, 24, and 29).

10.11. Claim 36 discloses processor of claim 6 wherein the number of the plurality of processing contexts does not equal a number of the plurality of cipher cores (Ohta in view of Tardo teach the number of cipher cores unequal to the number of processing contexts the same way as it teaches the number of authentication cores unequal to processing contexts (see rejection of claim 1).

10.12. Limitation of claims 38 and 40 are substantially the same as claim 36.

10.13. Claim 37 discloses the processor of claim 36 wherein the number of the plurality of processing contexts is six, a number of the buffer elements is six, the number of the plurality of cipher cores is four and the number of the authentication cores is five (Ohta in view of Tardo teaches a system with a plurality of buffers, each corresponding to each processing context and a flexible number of authentication and cipher cores (not

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necessarily equal to the number of processing contexts, as discussed in claims 1 and 36). Therefore, barring any unexpected results, it would have been obvious to the one skilled in art to have six processing contexts, with a buffer each, and four cipher cores, and five authentication cores. Note that the examples shown in the applicant's specification are just example scenarios, and no special feature or advantage is named with regards to those specific numbers for buffers, processing context, cipher cores, or authentication cores).

10.14. Requirements of claims 39 and 41 are substantially the same as claim 37.

11. Claim 7 is rejected under 35 U.S.C. 103(a) as being unpatentable over Ohta et al. (US 200210083317) in view of Tardo (US 7,082,534), and further in view of Corder (US 7,069,447).

11.1. Ohta and Tardo teach claims 1 and 6 of the current application which claim 7 depends from as shown above. It however, does not teach a connection using a multiplexer device. Ohta teaches connections using a data path connection switching unit as in paragraph [0013].

Corder teaches authentication and encryption buffers and units connected with a multiplexer in column 7 lines 1-21.

Ohta in view of Tardo and Corder are analogous art, as they are directed to security systems performing encryption and authentication comprising processors and

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buffers connected via data paths. At the time of invention, it would have been obvious to use multiplexer devices as connection paths for connecting authentication and encryption buffers as taught by Corder to connect processors and buffers in Ohta in view of Tardo. The motivation to do so is providing various, flexible connection paths between elements, as suggested by Ohta paragraph [0129] , where it teaches that the data path connection switching unit is used to provide various paths flexibly combined to fully take advantage of the multiple units. Therefore it would be obvious to one of ordinary skill in the art at the time of invention that this same inherent property of a multiplexer would be an alternate choice.

12. Claims 3, 22, 27, 34, and 35 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ohta et al. (US 2002/0083317) and Tardo (US 7,082,534), and further in view of "Speculation Techniques for Improving Load Related Instruction Scheduling", published in 1999, herein referred to as Spe.

12.1. Claims 3, 22, 27, 34, and 35 disclose the processor according to claim 1, wherein the plurality of processing contexts (Ohta Figure 12 and associated text show a corresponding number of data block accumulation units to encryption processing units).

Ohta in view of Tardo does not teach processing contexts are configured to allow latency of loading cryptographic key material and packet data to be hidden by pipelining loading of the packet data and the key material into a first portion of the plurality of

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processing contexts with processing of the packet data in a second portion of the plurality of processing contexts.

Spe teaches processing contexts are configured to allow latency of loading cryptographic key material and packet data to be hidden by pipelining loading of the packet data and the key material into a first portion of the plurality of processing contexts with processing of the packet data in a second portion of the plurality of processing contexts (Spe section 2.3 shows how downloading different portions of an execution program (packet data and key info as one portion, and processing of packet data as the other portion) into different pipelined banks hides the execution latency).

It would be obvious to one of ordinary skill in the art at the time of invention was made to use pipelining to hide the latency of data within the system of Ohta in view of Tardo, since Spe states at sections 1 and 2.3 that its method minimizes the stall time caused by waiting for missing data, for example the authentication buffer in Ohta.

### ***Conclusion***

13. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within

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TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

14. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Farid Homayounmehr whose telephone number is (571) 272-3739. The examiner can be normally reached on 9 hrs Mon-Fri, off Monday biweekly.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kristine Kincaid can be reached on (571) 272-4063. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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***Farid Homayounmehr***

***8/29/2008***

***/Kristine Kincaid/***

***Supervisory Patent Examiner, Art Unit 2139***